

# *At-Risk Identification Using AI and Social Determinants*

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*Welcome*



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# *Conflict of Interest*

Lisa M. Lines, PhD, MPH

Denise Clayton, PhD

Have no real or apparent conflicts of interest to report.

# *Agenda*

- Learning Objectives
- Context and Background
- Local Social Inequity Scores
- Applications

# *Learning Objectives*

- Recognize how local area factors are independently associated with many health outcomes and may be informative either in conjunction with individual-level data or on their own
- Discover how artificial intelligence tools may improve incentives for providers to treat more difficult patients
- Discuss how commonly available area-level deprivation or vulnerability indices only partially explain the variation we see in healthcare outcomes

# Determinants of Health



# *Defining Terms*

- Artificially Intelligent Risk Adjustment (AIRA): our approach to leveraging AI to inform risk adjustment for social factors
- Social determinants of health (SDoH): conditions in which people live, work, and grow
- Disparities: differences in outcomes that may be associated with social factors
- Inequities: another word for differences in outcomes that focuses on equity over parity
- Local social inequity (LSI): a measure explaining health outcome disparities (or inequities) in small geographic areas using predictors related to social factors

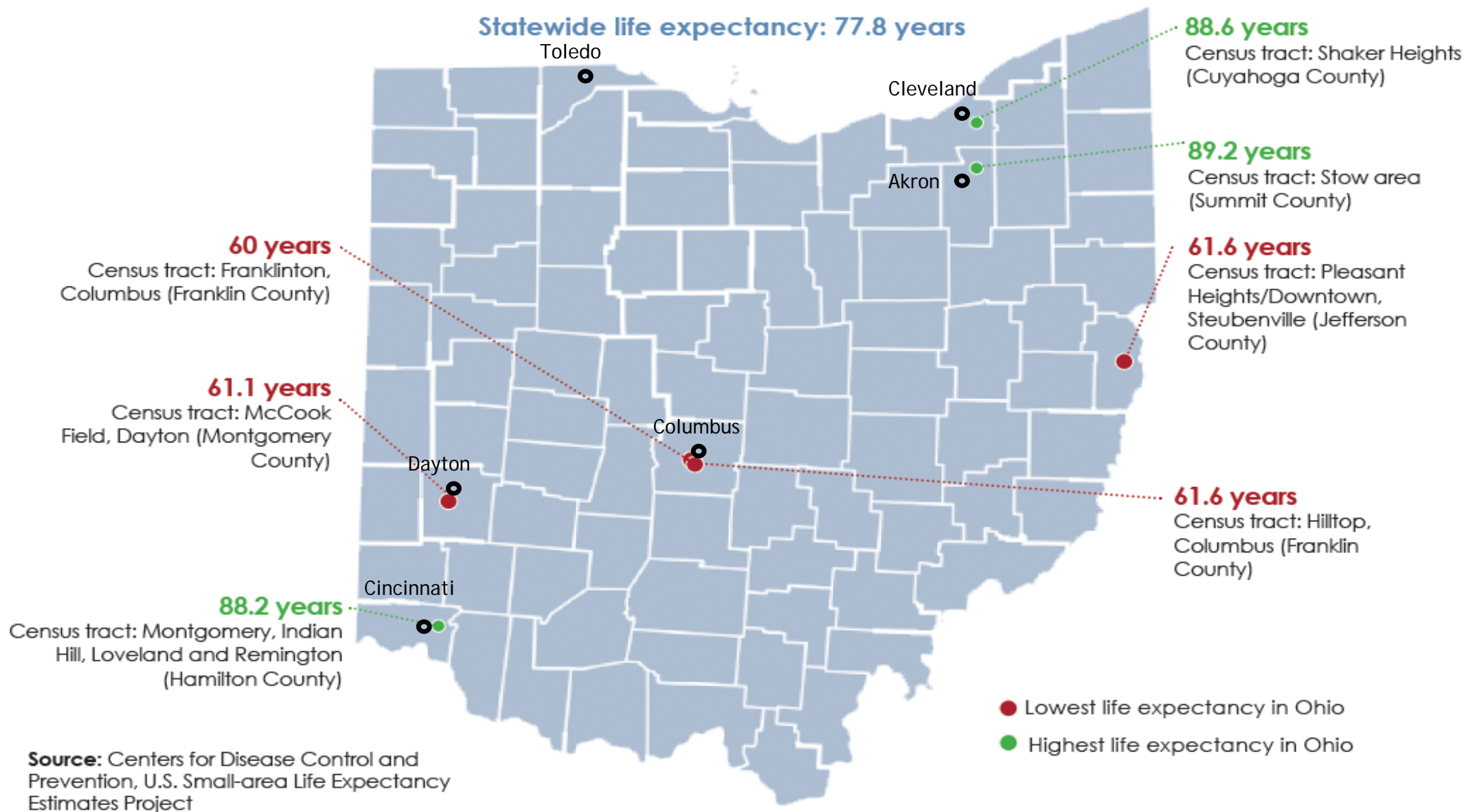
# *Context and Background*

- Current risk-adjustment formulas and performance/quality measures don't take many, if any, social determinants of health (SDoH) into account
- This can lead to unintended consequences
  - Practices with lower-risk patients get rewards, those with worse-off patients lose out
  - Providers feel they are penalized for factors outside of their control
  - Payers & networks have incentives to enroll lower-risk members
  - Lack of good data on SDoH can bias interventions toward lower-risk populations, less benefit
- Current publicly available area-based indices are limited

We need better ways to measure, predict, and adjust for social factors in healthcare and population health



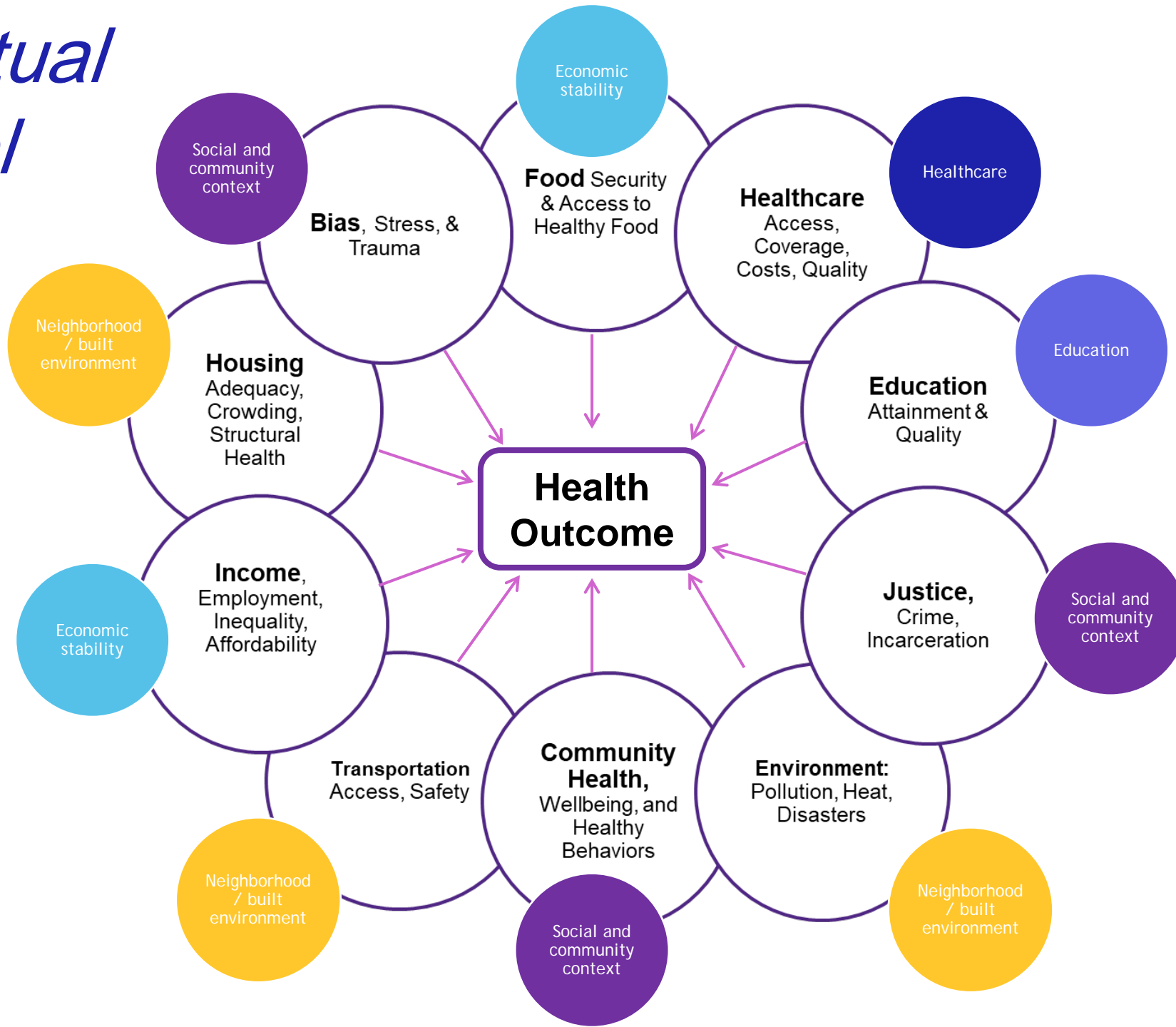
# Longest and Shortest Life Expectancy at Birth: 6 CTs in OH, 2010-15



# Conceptual Model

Infant Mortality

Life Expectancy



Drug Overdose Deaths

Excess Mortality: COVID-19

# *Selected Data Sources and Example Measures*

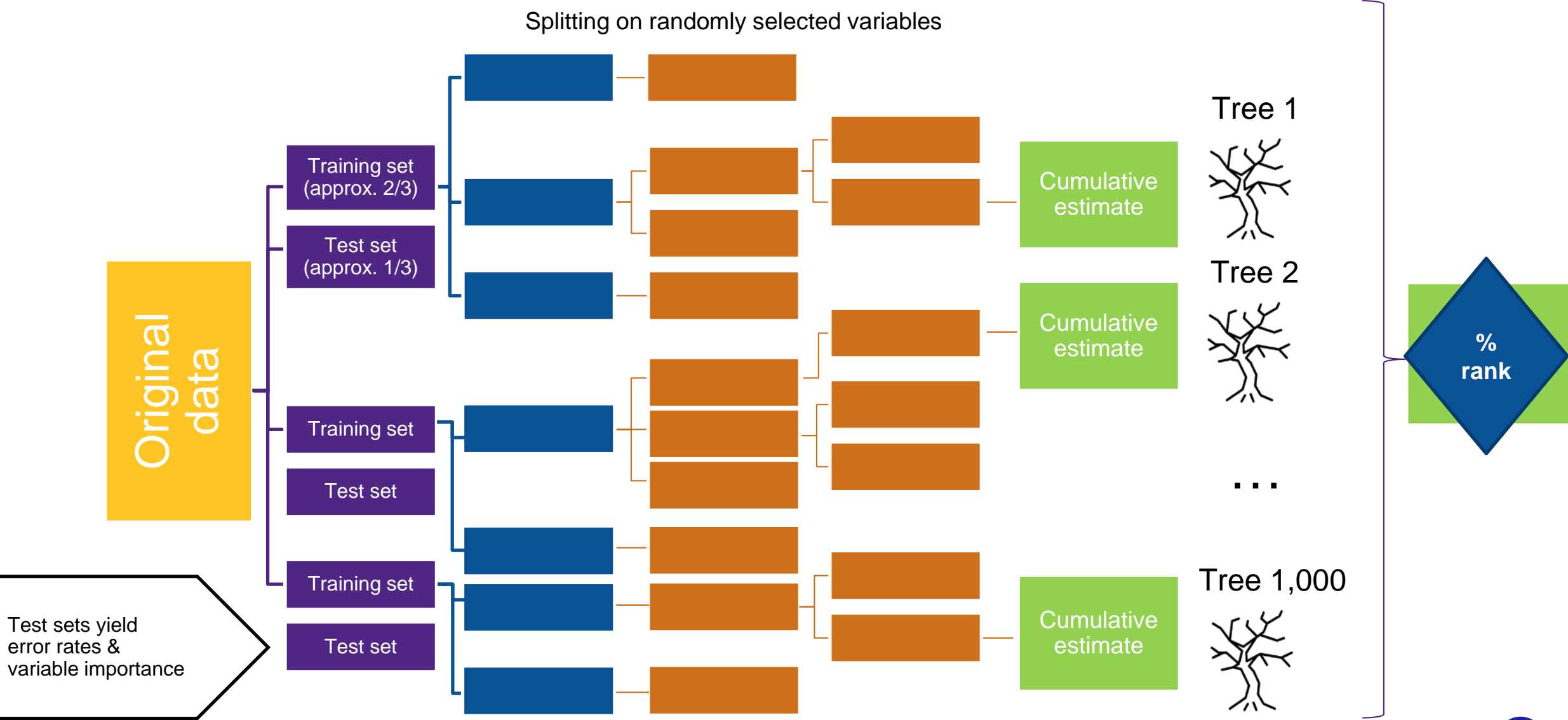
## **Integrated APIs**

- PLACES – 21 BRFSS measures for chronic conditions and healthy behaviors
- TidyCensus – American Community Survey demographic data
- US DOT – transportation measures
- Diversity Data Kids – Childhood health measures
- USDA ERS – Food and nutrition data
- FBI's UCR – Crime data
- Homeland Infrastructure Foundation-Level Data – places of worship, sports venues, landfills
- RTI's Spark SDoH database – air pollution and Medicare data

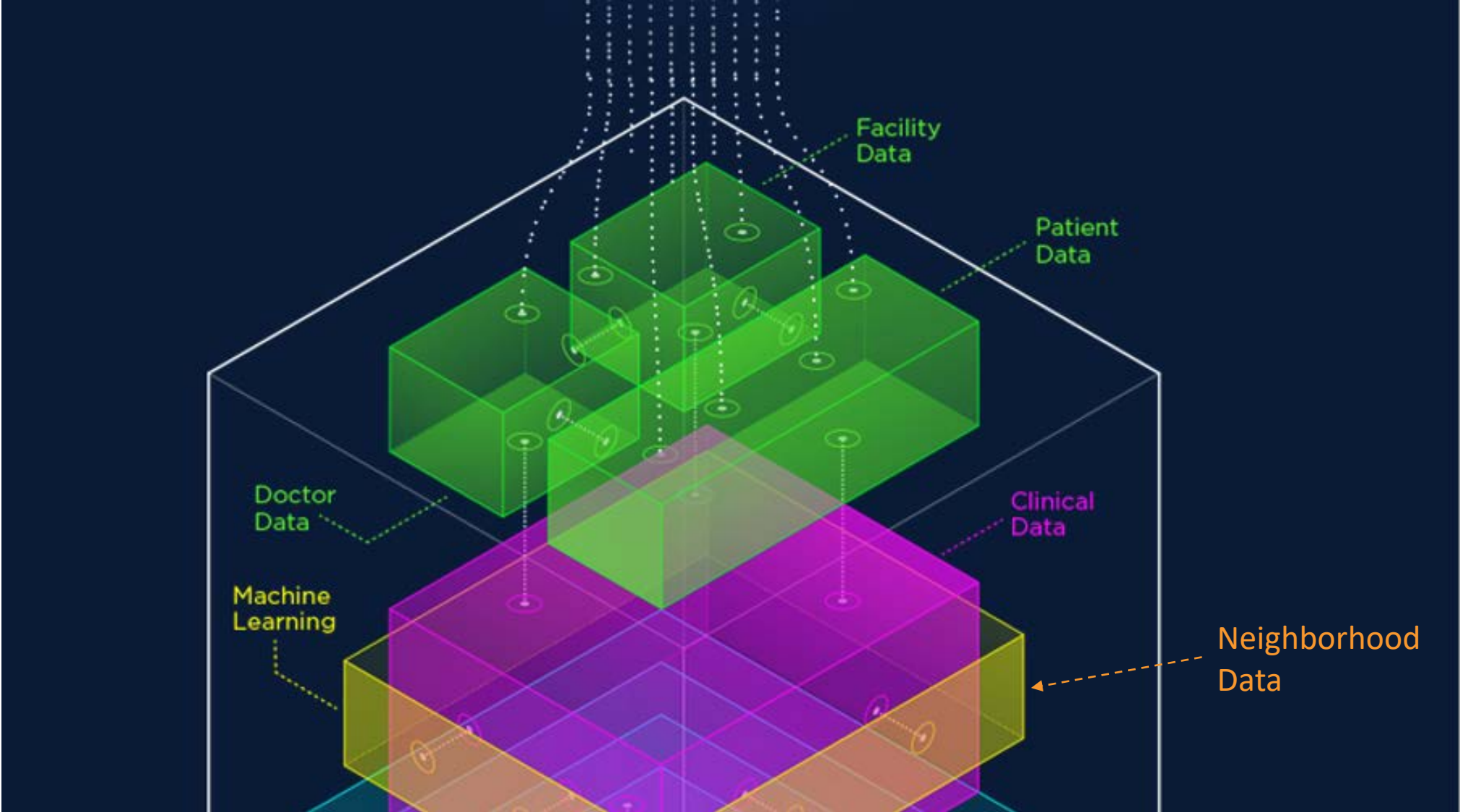
## **Selected Downloaded Datasets**

- CDC's Environmental Public Health Tracking Network
- CDC's Compressed Mortality file
- CMS HCRIS Data: 2014-2017
- United States Drought Monitor
- Uniform Crime Reporting Program Data
- HUD data – subsidized housing
- Opportunity Atlas
- Child Opportunity Index
- Walkability Index

# Simplified Illustration of Random Forest Algorithm



# The Dream



# Life expectancy, mean (range) – 5 states

● *Kansas*

78.1 Years (62.5 to 89.7)

Poverty rate: 20%

● *Kentucky*

75.6 Years (62.4 to 88.9)

Poverty rate: 22%

● *Ohio*

76.6 Years (60.0 to 89.2)

Poverty rate: 19%

● *South Carolina*

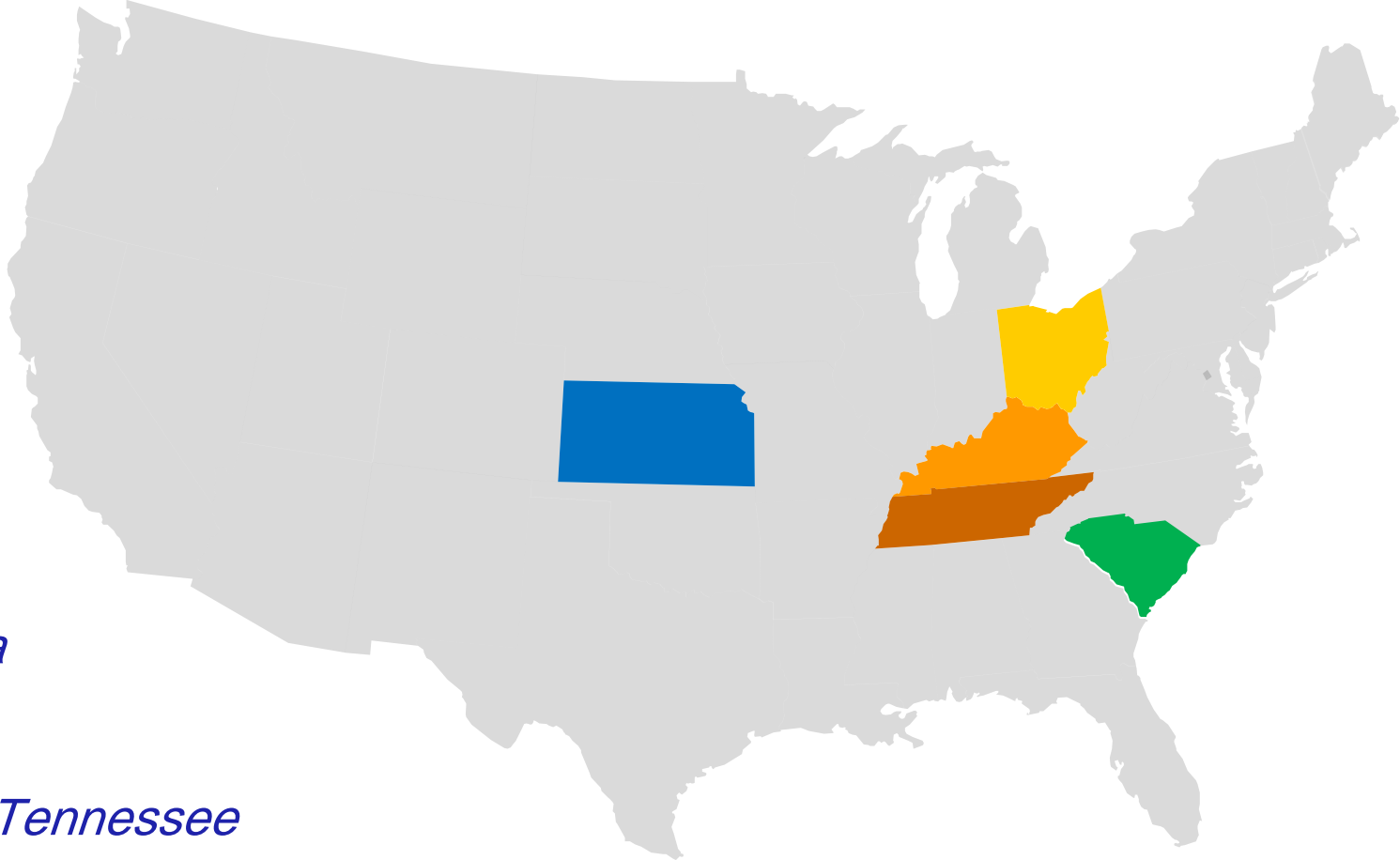
76.6 Years (64.3 to 89.4)

Poverty rate: 22%

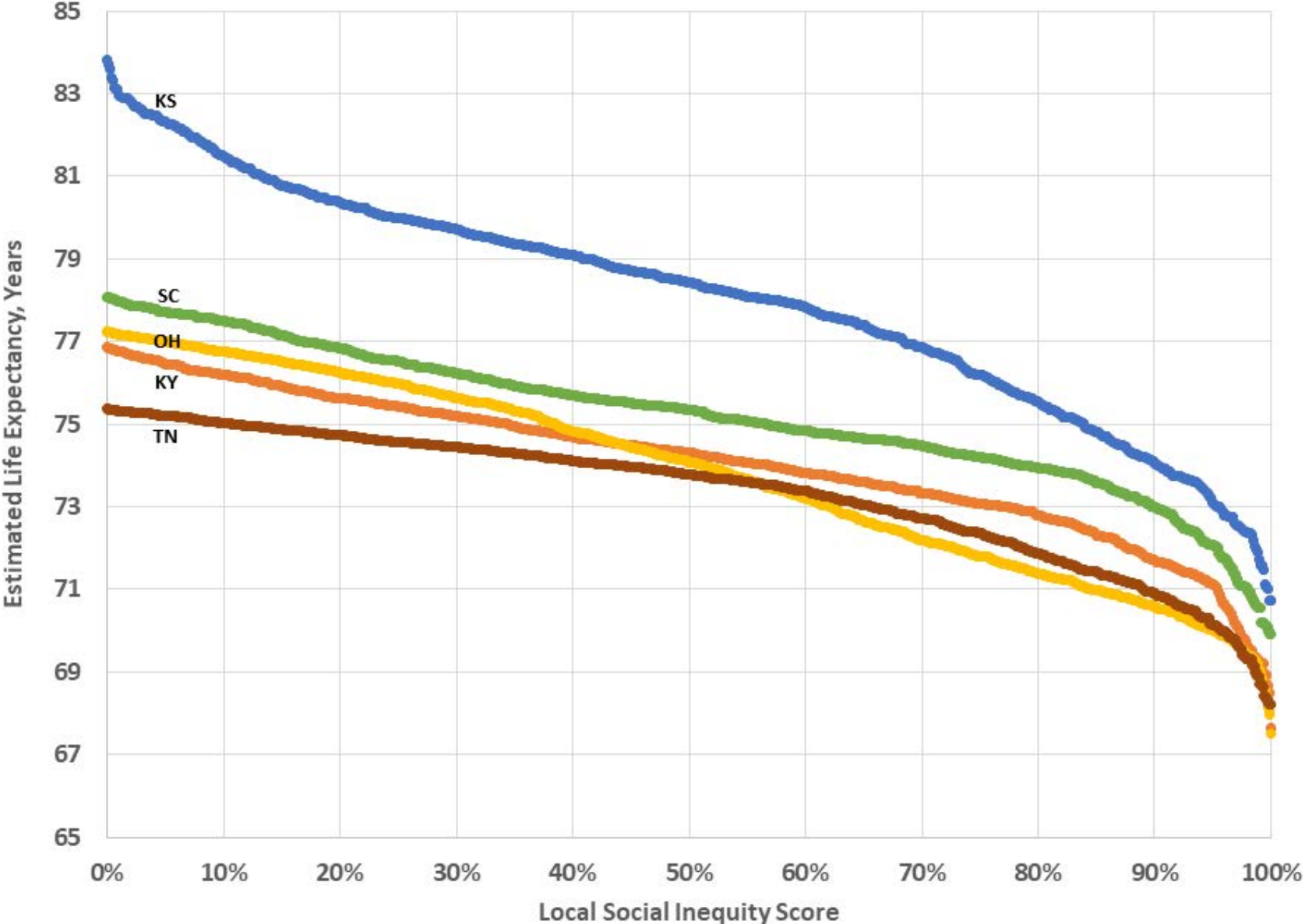
● *Tennessee*

75.5 Years (64.3 to 88.0)

Poverty rate: 22%



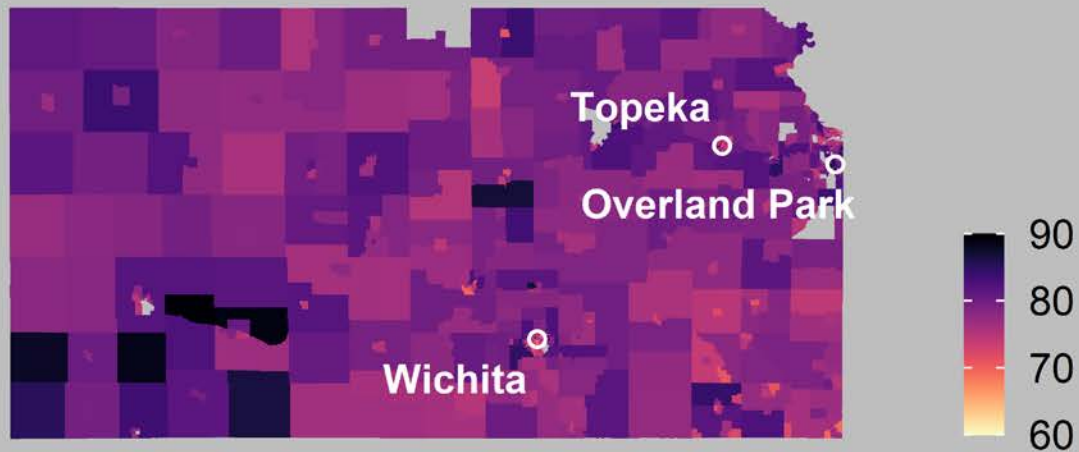
# Life expectancy by local social inequity: 5 states



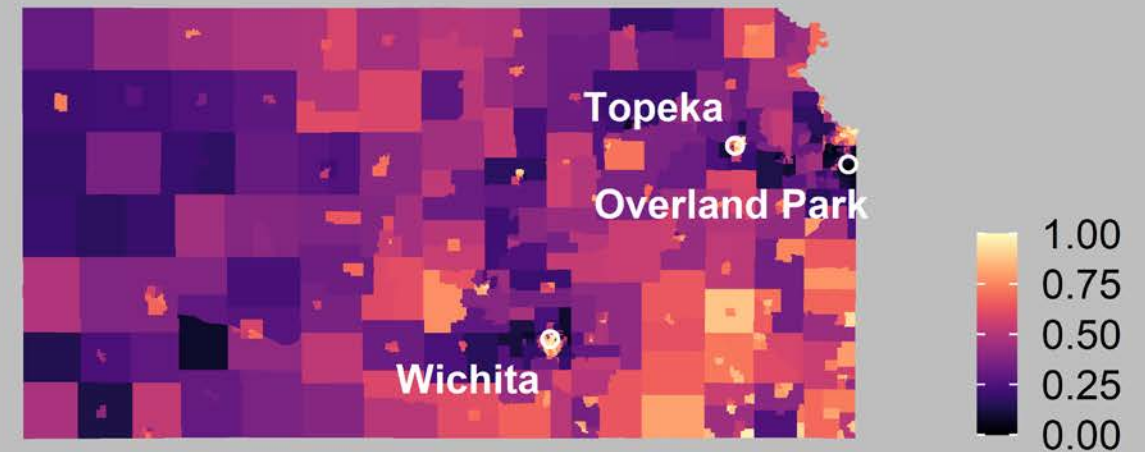


# Maps of Life Expectancy and Social Inequity in Kansas

## Life Expectancy Estimates



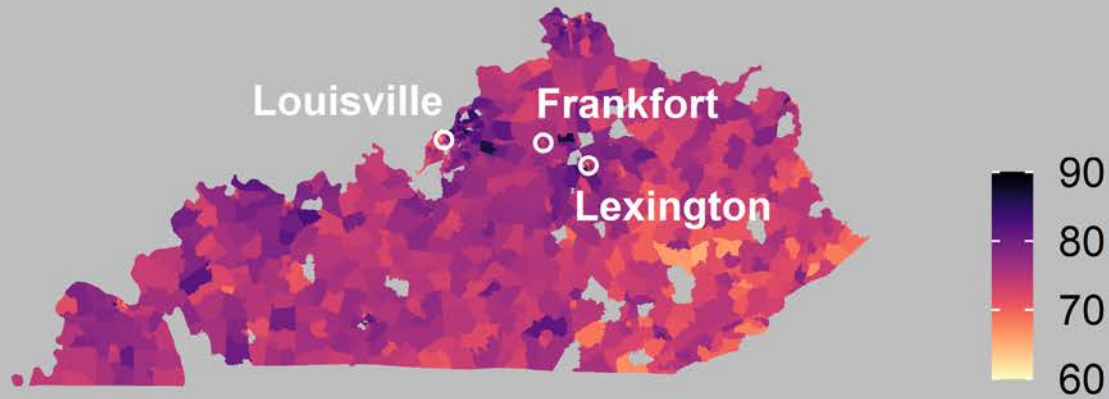
## Local Social Inequity Scores



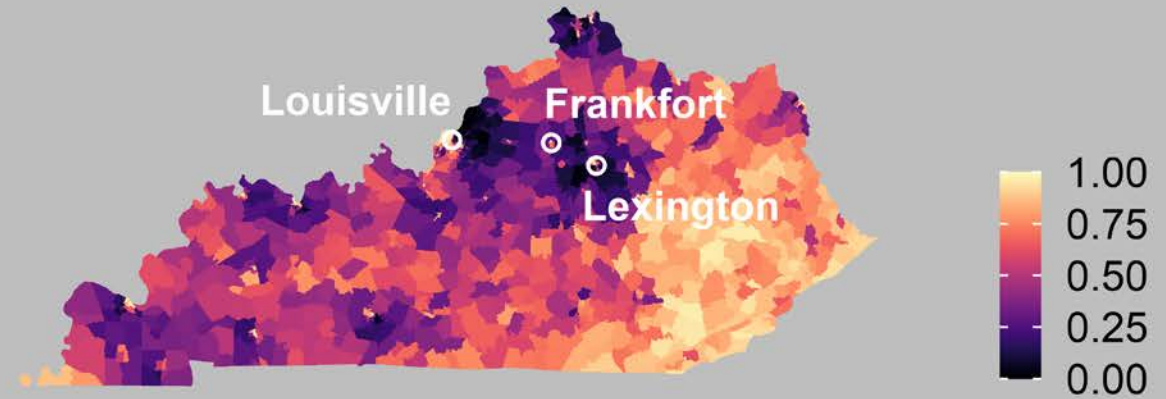


# Maps of Life Expectancy and Social Inequity in Kentucky

## Life Expectancy Estimates



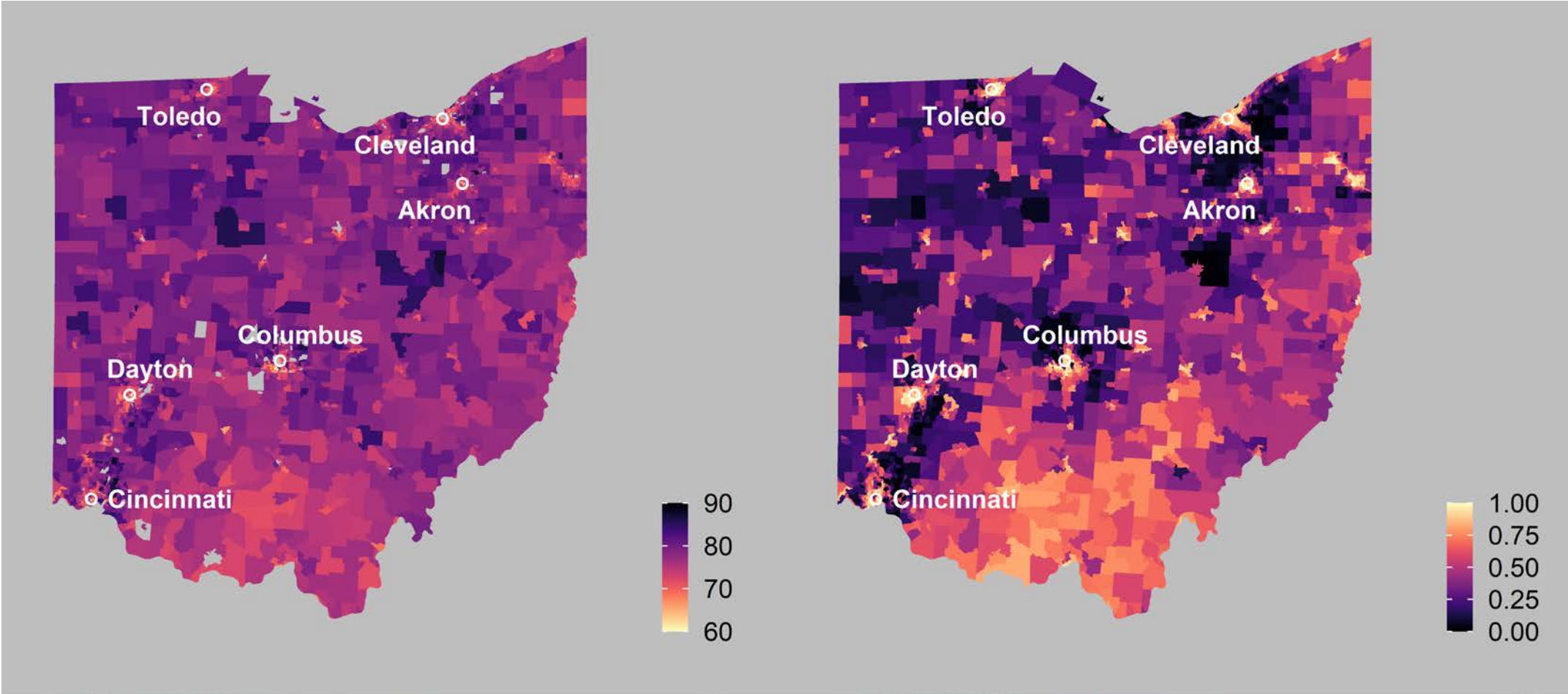
## Local Social Inequity Scores



# Maps of Life Expectancy and Social Inequity in Ohio

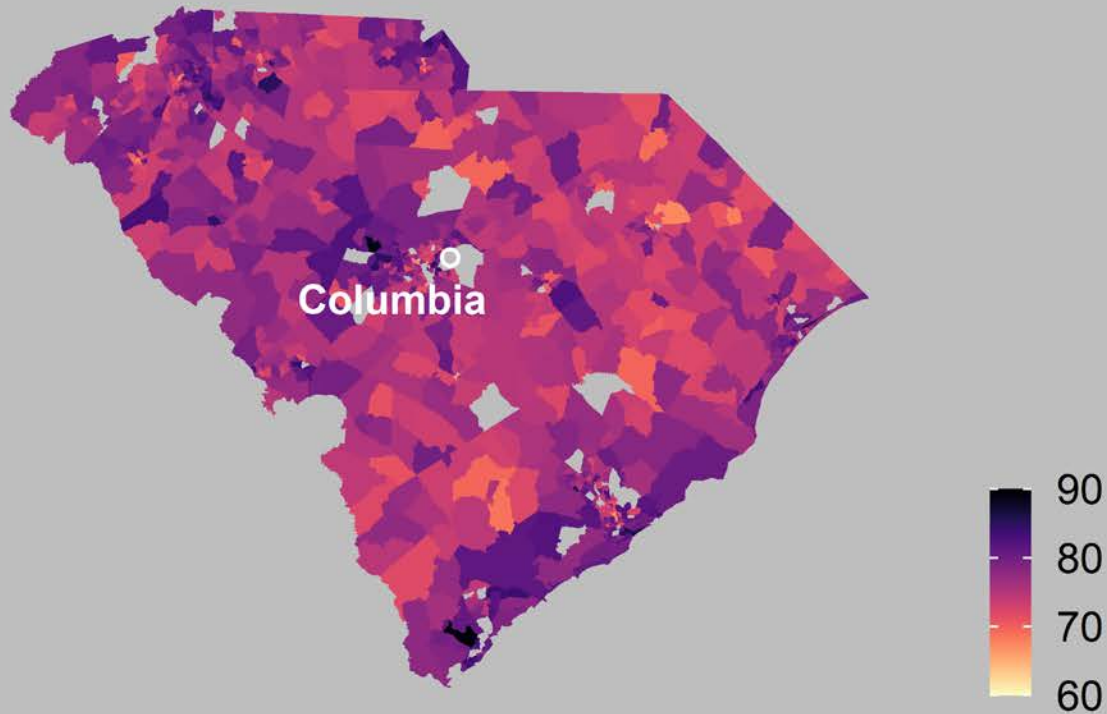
## Life Expectancy Estimates

## Local Social Inequity Scores

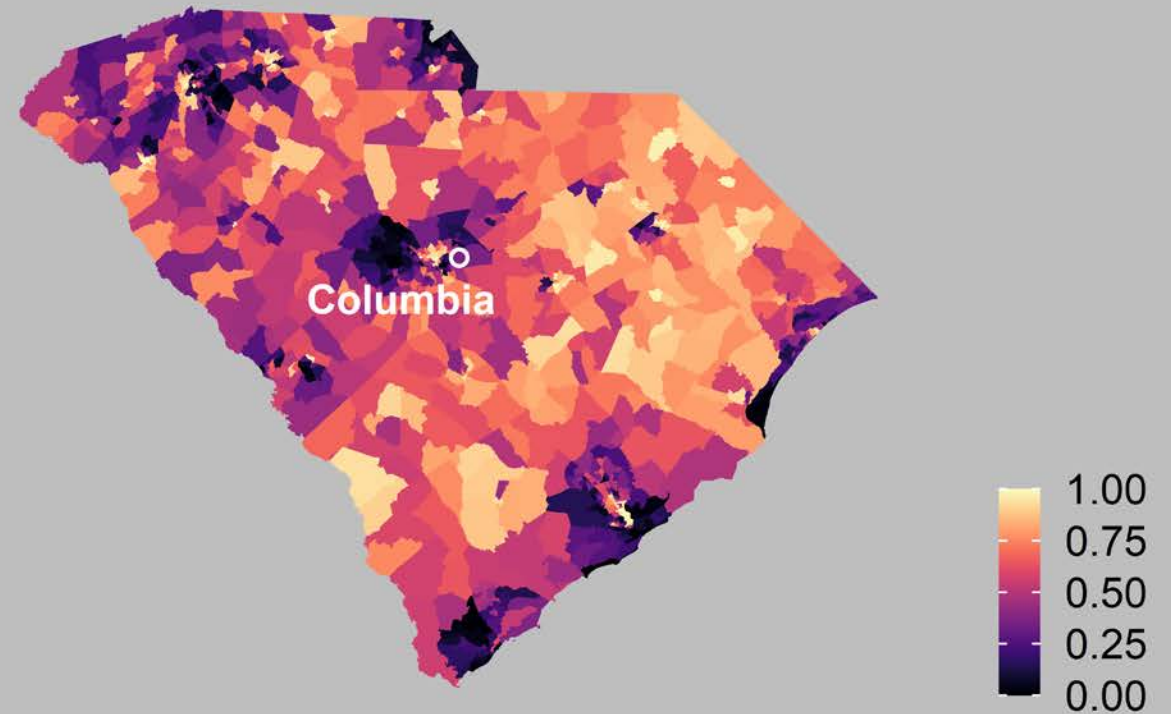


# Maps of Life Expectancy and Social Inequity in South Carolina

## Life Expectancy Estimates



## Local Social Inequity Scores



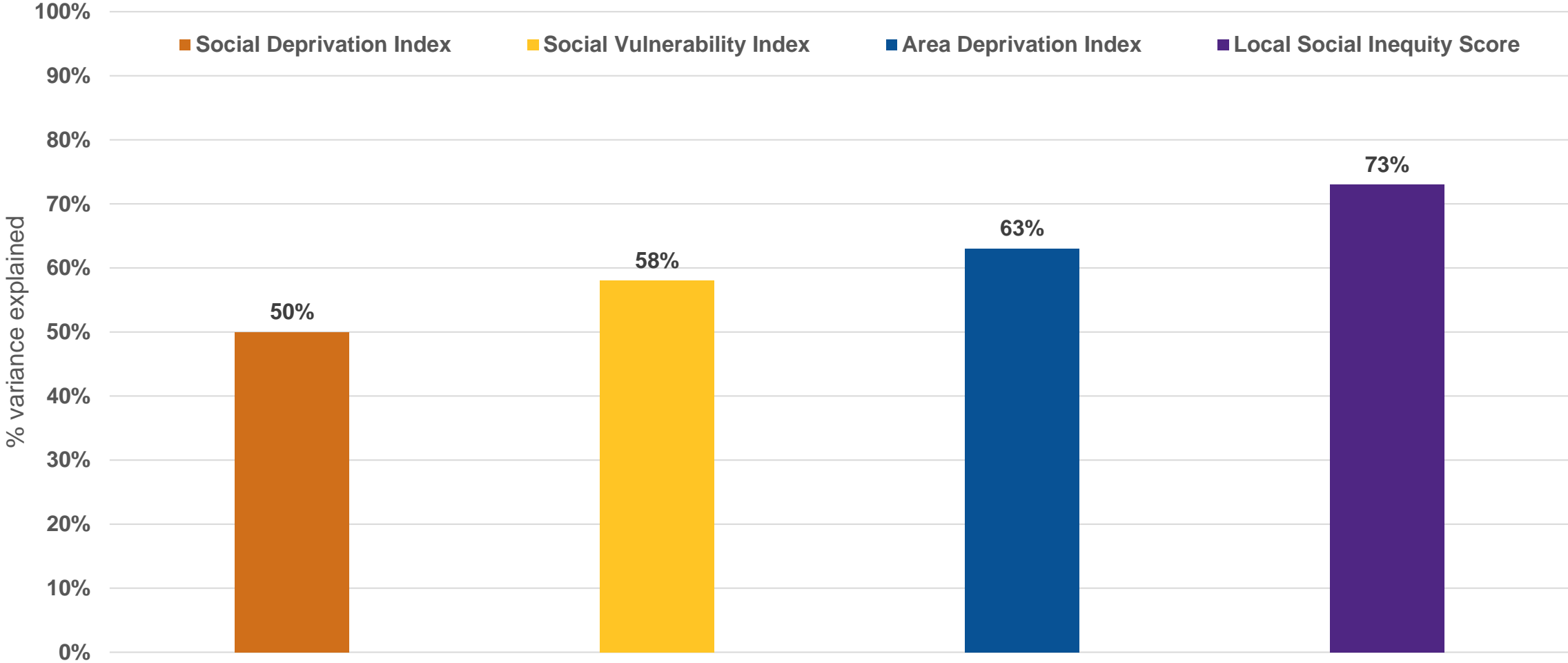
# Maps of Life Expectancy and Social Inequity in Tennessee

## Life Expectancy Estimates

## Local Social Inequity Scores



# Explaining the variance in life expectancy in Ohio with publicly available tract-level measures





# Comparative Statistics for Ohio – Overall, Highest Decile of LSI, and Lowest Decile of LSI

	Statewide		Highest LSI Score Decile		Lowest LSI Score Decile	
	Mean	SD	Mean	SD	Mean	SD
Life expectancy, CT, 2010-15 (years)	76.6	4.1	70.3	3.0	81.6	2.1
Social Risk Score	0.50	0.29	0.95	0.03	0.05	0.03
<b>Top 10 Predictors of Life Expectancy</b>						
Child Opportunity Index, 2010-15*	44	28	5	4	87	12
Food assistance rate, %, 2010-14	18	15	46	11	3	2
Raised in two-parent family, %**	71	18	41	16	87	7
Owner-occupied home value, median \$, 2010-14	127,013	65,688	57,968	28,444	251,790	80,366
Probability of earnings in the top 20% among children who grew up in tract**	18	10	4	3	36	8
Medicaid enrollment, %, 2010-14	20	14	45	11	5	3
Asthma prevalence, %, 2017	10	2	13	1	8	1
Physical inactivity prevalence, %, 2015	28	7	40	5	19	3
Mentally unhealthy days, mean, 2015	16	4	22	3	11	2
Smoking prevalence, %, 2015	23	6	33	4	14	4

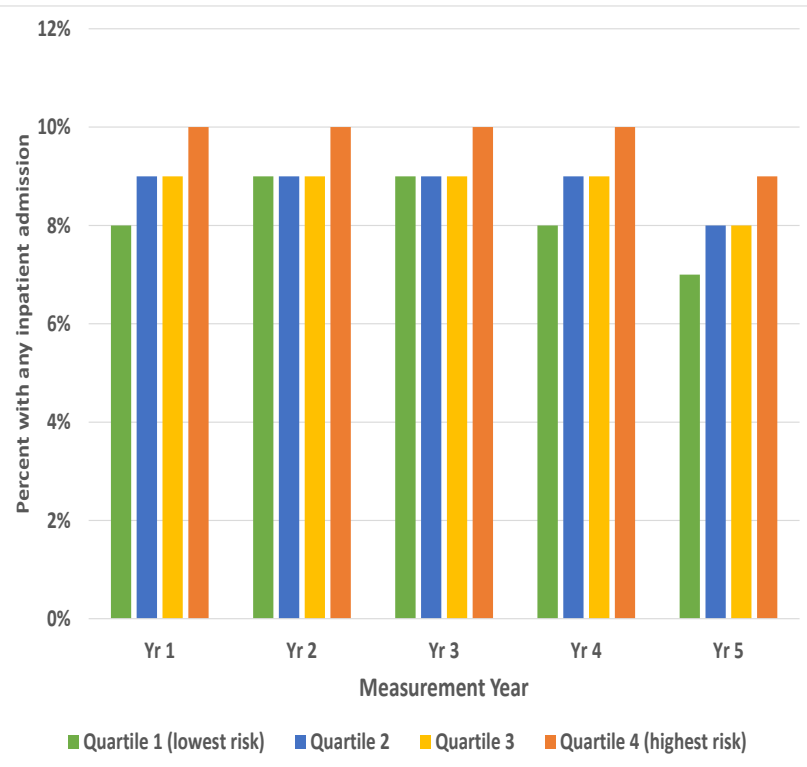
\*Child Opportunity Index includes 29 indicators in 2010 and 2015. \*\*Opportunity Atlas measures drawn from 1978-2015 data.

# Applications

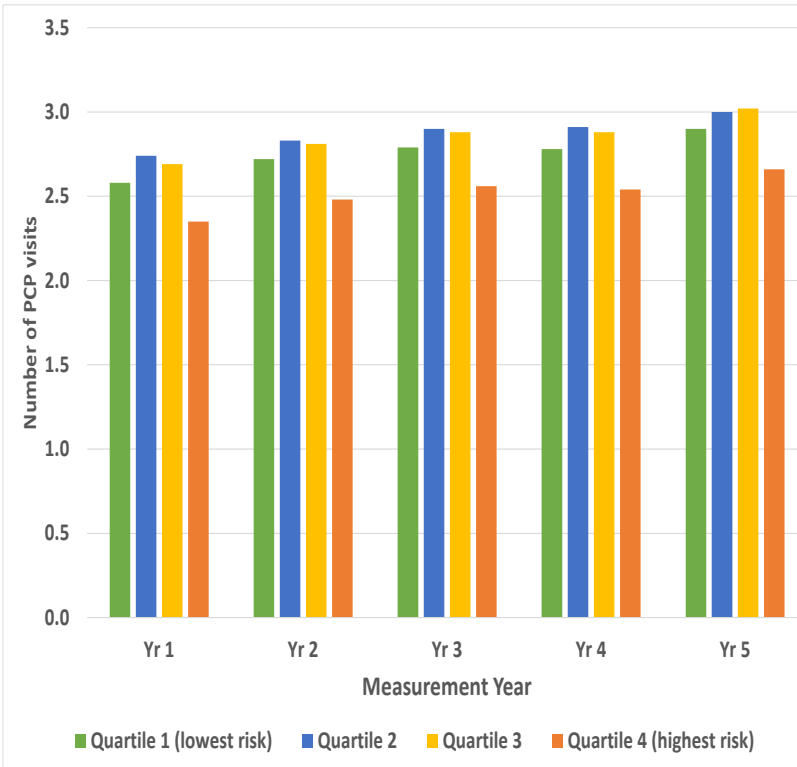
	Providers	Payers	Policy Makers
Understand drivers of health in order to identify most important issues to address	X	X	X
Use LSI scores to identify individuals or neighborhoods for SDoH interventions	X	X	X
Use LSI scores to risk adjust value-based payment models		X	X
Incorporate LSI scores in evaluations of healthcare innovations, payment models, and interventions on SDoH on higher-risk communities	X	X	X

# Example: Merged with Medicaid Population Data in OH

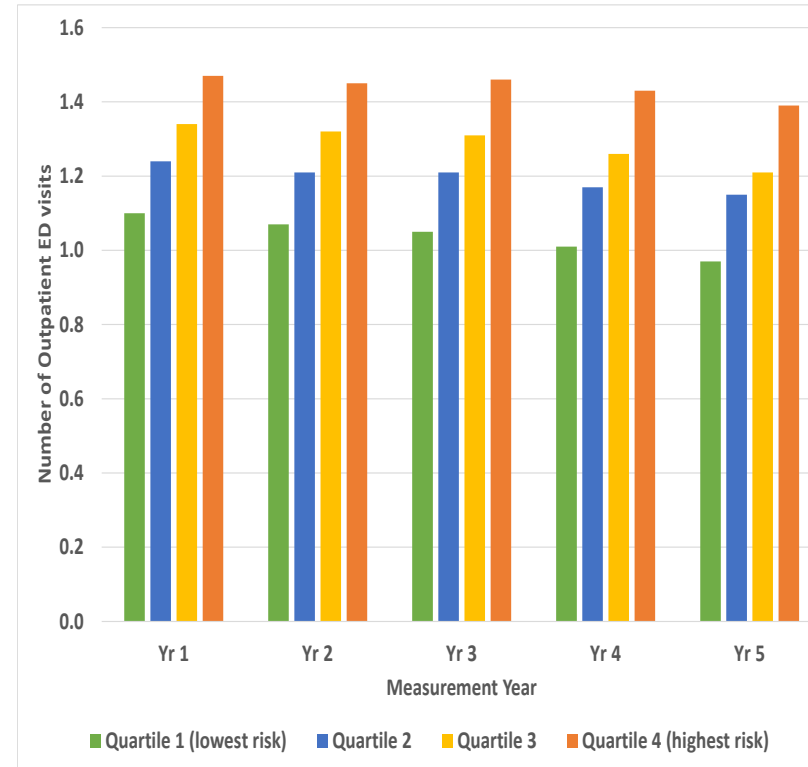
**Figure 1. Any inpatient admission by quartile and year**



**Figure 2. Number of PCP visits by quartile and year**



**Figure 3. Number of ED visits by quartile and year**





# Conclusions

- Our LSI scores explain 73% of the variation in life expectancy in Ohio – an improvement over existing indices that explain 50-63%
- Top individual important factors include child opportunities, receiving food assistance, being raised in 2-parent family, property values, probability of earnings in the top 20% (among children born in the same year)
  - These measures are complex and multidimensional, covering far more nuance than just “poverty rate”
  - We are limited to what data are available, and there may be bias in terms of who is included in the samples used for the underlying measures
  - While some of the top predictors may track with prior research, others may not be as obvious or amenable to interventions
- Using information on social risk to explain variation in population health status and outcomes can go beyond just maps

# Questions



**AIRA**  
ARTIFICIALLY  
INTELLIGENT  
RISK  
ADJUSTMENT

*Thank you!*



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